# Management Control Evaluation Checklist Materiel Fielding

REGULATION NUMBER:	AR 700-142
DATE OF REGULATION:	1 Jun 95

## PAGE 1 OF 3 PAGES

Assessable Unit: (Mailing address and phone number)
<b>Tunction.</b> Materiel Fielding.
<b>curpose.</b> To assist Materiel Developer/Fielding command in evaluating their key management controls. It is <i>not</i> intended to cover <i>all</i> controls.
nstructions. Answers must be based on the actual testing of controls (e.g., document analysis, direct observation, interviewing, or sampling). In answers that indicate control problems must be explained (and corrective action indicated) in supporting documentation. These controls must be evaluated in accordance with the schedule in the Management Control Plan. Certification that this evaluation has been conducted must be excomplished on the enclosed DA Form 11-2-R (Management Control Evaluation Certification Statement). Key management controls are those ighlighted in bold typeface below.
<b>upersession.</b> This checklist supersedes the checklist for AR 700-142, Materiel Release previously published in DA Cir 11-91-2. For assistance in esponding to questions, contact the functional proponent.
Comments. Help make this a better tool for evaluating the materiel release process. Submit comments to HQDA functional proponent: DALO-MM, DEPUTY CHIEF OF STAFF LOGISTICS, 500 ARMY PENTAGON, WASHINGTON, DC 20310-0500.
valuation conducted by: (Name, Grade, Title, Office Branch, Telephone Number)  Date of Evaluation
valuation Results: (Document the evaluation results on DA Form 11-2-R, in item 7)

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REGULATION NUMBER: AR 700-142
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#### PAGE 2 OF 3 PAGES

	RESPONSE			TESTING APPROACH			
TEST QUESTIONS	Y E S	N O	N / A	Document Analysis	Direct Observation	Sampling	Simulation
1. Has the Memorandum of Notification (MON) for the materiel system been prepared and provided to the gaining command?							
2. Has a Materiel Fielding Plan (MFP) been prepared and coordinated in accordance with DA Pam 700-142?							
3. Has a complete Mission Support Plan (MSP) been prepared by the gaining command and submitted to the fielding command?							
4. Has a separate Materiel Fielding Agreement (MFA) been prepared and coordinated with each gaining MACOM?							
5. Is the materiel fielder provided a Materiel Fielding Team (MFT)?							
6. Have handoff requirements been identified and coordinated in the MFP/MFA?							
7. Has the fielding command identified all items required to initially support the system on the Materiel Requirements List?							
8. Is the initial fielding of end items of equipment to each level limited to those authorized by MTOE, TDA, CTA, or joint table of allowances?							

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REGULATION NUMBER: AR 700-142
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**PAGE 3 OF 3 PAGES** 

PAGE 3 OF 3 PAGES							
	RESI	PONSI	<u>E</u>		TING PROACI	<u>H</u>	
TEST QUESTIONS-continued.	Y E S	N O	N / A	Document Analysis	Direct Observation	Sampling	Simulation
9. Was a joint inventory performed by MFT (if applicable) with gaining command and DA Form 5684-R (Joint Inventory Report) signed by representatives form both fielding and gaining commands?							
10, Did the fielding command indicate missing, defective, and damaged items on Joint Inventory Report (DA Form 5684-R)?							

# Management Control Evaluation Checklist Materiel Release

REGULATION NUMBER:	AR 700-142
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#### **PAGE 1 OF 2 PAGES**

PAGE I OF 2 PAGES	
Assessable Unit: (Mailing address and phone number)	
Function. Materiel Release.	
<b>Purpose.</b> To assist Materiel Developers and supporting major subordinate commands in evaluating their key to cover <i>all</i> controls.	management controls. It is <i>not</i> intended
<b>Instructions.</b> Answers must be based on the actual testing of controls (e.g., document analysis, direct observation Answers that indicate control problems must be explained (and corrective action indicated) in supporting document evaluated in accordance with the schedule in the Management Control Plan. Certification that this evaluation accomplished on the enclosed DA Form 11-2-R (Management Control Evaluation Certification Statement). <b>K highlighted in bold typeface below.</b>	mentation. These controls must be has been conducted must be
<b>Supersession.</b> This checklist supersedes the checklist for AR 700-142, Materiel Release previously published responding to questions, contact the functional proponent.	in DA Cir 11-91-2. For assistance in
<b>Comments.</b> Help make this a better tool for evaluating the materiel release process. Submit comments to HQL SMM, DEPUTY CHIEF OF STAFF LOGISTICS, 500 ARMY PENTAGON, WASHINGTON, DC 20310-05	<b>.</b> .
Evaluation conducted by: (Name, Grade, Title, Office Branch, Telephone Number)	<b>Date of Evaluation</b>
<b>Evaluation Results:</b> (Document the evaluation results on DA Form 11-2-R, in item 7)	

## Management Control Evaluation Checklist Materiel Release

REGULATION NUMBER:	AR 700-142
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### PAGE 2 OF 2 PAGES

	RES	RESPONSE		TESTING APPROACE		<u>H</u>	
TEST QUESTIONS:	Y E S	N O	N / A	Document Analysis	Direct Observation	Sampling	Simulation
1. Does the materiel being considered for release fall within the scope of the release process?							
2. Have the materiel release prerequisites (AR 700-142) been met and documented for the materiel considered for release with copies provided to appropriate participants?							
3. If a conditional release has been requested, has a get-well plan been prepared that addresses each condition that precludes full release?							
4. Does the get-well plan describe the circumstances of the problem or issue, the interim means of support, and the projected date when the condition(s) will be corrected?							
5. If a conditional release is requested, does the release documentation package contain a Users Acceptance Statement?							
6. If a conditional release is requested, does the release documentation package contain an urgency of need statement signed by a general officer from the gaining command?							

# Management Control Evaluation Checklist Materiel Transfer

REGULATION NUMBER:	AR 700-142
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# PAGE 1 OF 2 PAGES

Assessable Unit: (Mailing address and phone number)							
Function. Materiel Transfer.							
<b>Purpose.</b> To assist losing and gaining commands in evaluating their key management controls. It is <i>not</i> intended to cover <i>all</i> controls.							
<b>Instructions.</b> Answers must be based on the actual testing of controls (e.g., document analysis, direct observations). Answers that indicate control problems must be explained (and corrective action indicated) in supporting document accordance with the schedule in the Management Control Plan. Certification that this evaluation is accomplished on the enclosed DA Form 11-2-R (Management Control Evaluation Certification Statement). <b>Kanagement in bold typeface below.</b>	mentation. These controls must be nas been conducted must be						
<b>Supersession.</b> This checklist supersedes the checklist for AR 700-142, Materiel Transfer previously published in DA Cir 11-91-2. For assistance in responding to questions, contact the functional proponent.							
<b>Comments.</b> Help make this a better tool. Submit comments to HQDA functional proponent: DALO-SMM, DE LOGISTICS, 500 ARMY PENTAGON, WASHINGTON, DC 20310-0500.	EPUTY CHIEF OF STAFF						
Evaluation conducted by: (Name, Grade, Title, Office Branch, Telephone Number)	Date of Evaluation						
Evaluation Results: (Document the evaluation results on DA Form 11-2-R, in item 7)							

## Management Control Evaluation Checklist Materiel Transfer

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### PAGE 2 OF 2 PAGES

	RES	RESPONSE			TESTING APPROACH		
TEST QUESTIONS	Y E S	N O	N / A	Document Analysis	Direct Observation	Sampling	Simulation
1. Is the replaced equipment being redistributed within the supply support activities geographic area to fill shortages for authorized equipment?							
2. If local shortages do not exist, has the replaced excess equipment been reported through channels to the managing national inventory control point (NICP) for appropriate disposition instructions as coordinated with HQDA?							
3. Is the replaced system being transferred within a MACOM?							
4. If transferred within a MACOM, are normal intra-MACOM redistribution procedures being used?							
5. Was a Materiel Transfer Plan prepared for the MACOM to MACOM transfer of the redistributed system?							